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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Donald Edward Johnson and Duane Allen Steward

Application No.: 09/767,031 Group: 3692

Filed: January 22, 2001 Examiner: Timothy M. Harbeck

Confirmation No.: 3319

For: SYSTEM AND METHOD FOR GIVING APPRECIATED ASSETS

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
MARCH 19, 2007 Date	<i>Christine A. Budd</i> Signature
CHRISTINE A. BUDD Typed or printed name of person signing certificate	

REPLY TO NOTICES OF NON-COMPLIANT AMENDMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

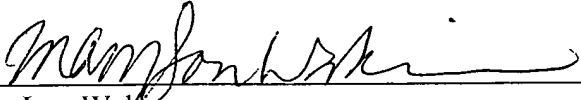
The following is being submitted in response to the Notices of Non-Compliant Amendment (copies attached) dated February 28, 2007 and March 1, 2007, received from the U.S. Patent and Trademark Office in the above-captioned application. A complete reply (Amendment) was filed in the U.S. Patent and Trademark Office on February 28, 2007 in the subject application as evidenced by the copy of the postcard receipt (attached). Thus, the undersigned believes that the Notices and the Office Action of November 30, 2006 are sufficiently addressed and no further reply by applicant is required at this time.

Acceptance is respectfully requested.

Although it is believed no fees are due, authorization is granted to charge any fees that may be due in this matter to Deposit Account No. 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By   
Mary Lou Wakimura  
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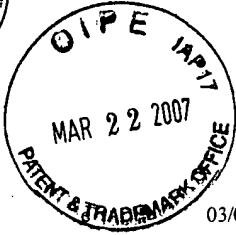
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Date: 3/19/07

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Paper No.

Application No.:	09/767,031	Date Mailed:	03/01/2007
First Named Inventor:	Johnson, Donald, Edward	Examiner:	HARBECK, TIMOTHY M
Attorney Docket No.:	2930.1000-001	Art Unit:	3692
Confirmation No.:	3319	Filing Date:	01/22/2001

Please find attached an Office communication concerning this application or proceeding.

RECEIVED  
HAMILTON, BROOK,  
SMITH & REYNOLDS, P.C.

MAR 05 2007

ATTORNEY/IFC/IAC MLW/BJS  
Docketed        Already Docketed ✓  
Not Required advise pls.  
Initials 1st CEZ Initials 2nd B

Commissioner for Patents

<b>Notice of Non-Compliant Amendment</b> <b>(37 CFR 1.121)</b>	Application No. 09/767,031	Applicant(s) JOHNSON ET AL.	
		Art Unit 3692	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on 20 February, 2007 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

**THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:**

- ☐ 1. Amendments to the specification:
  - ☐ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☐ C. Other \_\_\_\_\_.
- ☐ 2. Abstract:
  - ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other \_\_\_\_\_.
- ☐ 3. Amendments to the drawings:
  - ☐ A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
  - ☐ B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
  - ☐ C. Other \_\_\_\_\_.
- ☒ 4. Amendments to the claims:
  - ☒ A. A complete listing of all of the claims is not present.
  - ☒ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - ☒ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
  - ☒ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☐ E. Other: \_\_\_\_\_.
- ☐ 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4): For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

**TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:**

1. Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment or an amendment filed after allowance, or a drawing submission (only) If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.
2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a Quayle action. If any of above boxes 1 to 4 are checked, the correction required is only the corrected section of the non-compliant amendment in compliance with 37 CFR 1.121.

**Extensions of time** are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action.

**Failure to timely respond** to this notice will result in:

**Abandonment** of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action; or

**Non-entry** of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Legal Instruments Examiner (LIE), if applicable peggy s. varborough

Telephone No: 571 272 1859

COPY



Initials: MLW/BJS/cb Docket No.: 2930.1000-001 Date: Feb. 28, 2007  
This is to acknowledge receipt of the following documents each filed under  
Certificate of Mailing Procedure 37 CFR § 1.8:

- ☒ Amendment/Reply Fee Letter w/copy
- ☐ Pet. Ext of Time included in Fee Letter ☐ Sep. Pet Ext Time w/copy
- ☒ AMENDMENT
- ☐ AMENDMENT AFTER FINAL ACTION
- ☐ AMENDMENT AFTER ALLOWANCE UNDER 37 CFR § 1.312
- ☐ REPLY TO OFFICE ACTION
- ☐ Replacement Sheets [ ] Sheets ☐ Annotated Sheets [ ]
- ☐ Check for \$[ ] ☐ Authorization to charge fees
- ☐ Other: [ ]

Applicants: Donald Edward Johnson, *et al.*

Application No.: 09/767,031

Filed: January 22, 2001

Title: System and Method for Giving Appreciated Assets

Date received by the PTO

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